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APPLICATION FOR LETTERS PATENT

**SYSTEM AND METHOD FOR TRACKING DATA  
STORED IN A FLASH MEMORY DEVICE**

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1      **TECHNICAL FIELD**

2      This invention relates to flash memory devices, and flash memory  
3      controllers.

4      **BACKGROUND**

5      Flash memory devices have many advantages for a large number of  
6      applications. These advantages include their non-volatility, speed, ease of erasure  
7      and reprogramming, small physical size and related factors. There are no  
8      mechanical moving parts and as a result such systems are not subject to failures of  
9      the type most often encountered with hard disk storage systems. As a result many  
10     portable computer devices, such as laptops, portable digital assistants, portable  
11     communication devices, and many other related devices are using flash memory as  
12     the primary medium for storage of information.

13     Flash memory devices are generally operated by first setting all bits in a  
14     block to a common state, and then reprogramming them to a desired new state.  
15     Blocks of data need to be shuffled during the reprogramming process, which can  
16     slow the completion of the operation. Besides being time consuming,  
17     reprogramming a block of data can subject the entire block to accidental loss, in  
18     the event there is a power failure during the reprogramming process. Normally, as  
19     the block is shuffled, it is temporarily stored in a volatile memory device, such as  
20     Random Access Memory (RAM). The entire block of data (not just newly entered  
21     data) is susceptible to permanent loss if the reprogramming process has not  
22     completed prior to the power failure. In these circumstances, an entire block of  
23     data may need to be reentered by a user anew.

1  
2 **SUMMARY**

3 A system and method for tracking data stored in a flash memory device is  
4 described. The system and method allows write operations to complete without  
5 interruption, because there is no requirement to perform an erase operation in  
6 order to perform a write operation to the flash memory medium.

7 In one described implementation, a request to write data to a logical sector  
8 address of a flash memory medium is received from a file system. A free physical  
9 sector address is assigned to the logical sector address, which forms a  
10 corresponding relationship between these two addresses. This corresponding  
11 relationship is stored in a table. The data is then written into a physical sector of  
12 the flash memory medium at a location indicated by the physical sector address.

13 Data loss, due to power interruption during a write operation, is also  
14 minimized in a described implementation. The logical-to-physical sector mapping  
15 stored in the table is backed-up on the flash memory medium. In the event there is  
16 a catastrophic power interruption, logical-to-physical sector mapping can easily be  
17 reestablished by scanning the backed-up mapping stored on the flash memory  
18 medium. For example, a logical address sector corresponding to a physical  
19 address sector can be stored in the error code correction portion of a NAND flash  
20 memory medium or within the physical sector of a NOR flash memory medium.

21  
22 **BRIEF DESCRIPTION OF THE DRAWINGS**

23 The detailed description is described with reference to the accompanying  
24 figures. In the figures, the left-most digit(s) of a reference number identifies the  
25 figure in which the reference number first appears.

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1 FIG. 1 illustrates a logical representation of a NAND flash memory  
2 medium.

3 FIG. 2 illustrates a logical representation of a NOR flash memory medium.

4 FIG. 3 illustrates pertinent components of a computer device, which uses  
5 one or more flash memory devices to store information.

6 FIG. 4 illustrates a block diagram of flash abstraction logic.

7 FIG. 5 illustrates an exemplary block diagram of a flash medium logic.

8 FIG. 6A shows a data structure used to store a corresponding relationship  
9 between logical sector addresses and physical sector addresses.

10 FIG. 6B shows a data structure which is the same as the data structure in  
11 FIG. 6B, except its contents have been updated.

12 FIG. 7 illustrates a process used to track data on the flash memory medium  
13 when the file system issues write requests to the flash driver.

14 FIG. 8 illustrates a process for safeguarding mapping of logical-to-physical  
15 sector address information stored in volatile data structures, such as the data  
16 structures shown in FIGS. 6A and 6B.

17 FIG. 9 illustrates a location within the flash memory medium in which the  
18 logical sector address can be stored for safeguarding in the event of a power  
19 failure.

20 FIG. 10 illustrates a dynamic look-up data structure to track data stored in  
21 the flash memory medium.

22 FIG. 11 illustrates a process for dynamically allocating look-up data  
23 structures for tracking data on the flash memory medium.

24 FIG. 12 is a diagram of the flash memory medium viewed and/or treated as  
25 a continuous circle by the flash driver.

1 FIG. 13 depicts another illustration of the media viewed as a continuous  
2 circle.

3 FIG. 14 illustrates a process used by the sector manager to determine the  
4 next available free sector location for the flash driver to store data on the medium.

5 FIG. 15 illustrates another view of media treated as a continuous circle.

6 FIG. 16 is a flow chart illustrating a process used by the compactor to  
7 recycle sectors.

8 FIG. 17 shows one exemplary result from the process illustrated in FIG. 16.

9 FIG. 18 illustrates a logical representation of a NOR flash memory medium  
10 divided in way to better support the processes and techniques implemented by the  
11 flash driver.

12

13 **DETAILED DESCRIPTION**

14 The following discussion is directed to flash drivers. The subject matter is  
15 described with specificity to meet statutory requirements. However, the  
16 description itself is not intended to limit the scope of this patent. Rather, the  
17 inventors have contemplated that the claimed subject matter might also be  
18 embodied in other ways, to include different elements or combinations of elements  
19 similar to the ones described in this document, in conjunction with other present or  
20 future technologies.

21 ***Overview***

22 This discussion assumes that the reader is familiar with basic operating  
23 principles of flash memory media. Nevertheless, a general introduction to two  
24 common types of nonvolatile random access memory, NAND and NOR Flash  
25 memory media, is provided to better understand the exemplary implementations

described herein. These two example flash memory media were selected for their current popularity, but their description is not intended to limit the described implementations to these types of flash media. Other electrically erasable and programmable read-only memories (EEPROMs) would work too. In most examples used throughout this Detailed Description numbers shown in data structures are in decimal format for illustrative purposes.

### *Universal Flash Medium Operating Characteristics*

FIG. 1 and FIG. 2 illustrate logical representations of example NAND and NOR flash memory media 100, 200, respectively. Both media have universal operating characteristics that are common to each, respectively, regardless of the manufacturer. For example referring to FIG. 1, a NAND flash memory medium is generally split into contiguous blocks (0, 1, through N). Each block 0, 1, 2, etc. is further subdivided into K sectors 102; standard commercial NAND flash media commonly contain 8, 16, or 32 sectors per block. The amount of blocks and sectors can vary, however, depending on the manufacturer. Some manufacturers refer to "sectors" as "pages." Both terms as used herein are equivalent and interchangeable.

Each sector 102 is further divided into two distinct sections, a data area 103 used to store information and a spare area 104 which is used to store extra information such as error correction code (ECC). The data area 103 size is commonly implemented as 512 bytes, but again could be more or less depending on the manufacturer. At 512 bytes, the flash memory medium allows most file systems to treat the medium as a nonvolatile memory device, such as a fixed disk (hard drive). As used herein RAM refers generally to the random access memory

1 family of memory devices such as DRAM, SRAM, VRAM, VDO, and so forth.  
2 Commonly, the size of the area spare 104 is implemented as 16 bytes of extra  
3 storage for NAND flash media devices. Again, other sizes, greater or smaller can  
4 be selected. In most instances, the spare area 104 is used for error correcting  
5 codes, and status information.

6 A NOR memory medium 200 is different than NAND memory medium in  
7 that blocks are not subdivided into physical sectors. Similar to RAM, each byte  
8 stored within a block of NOR memory medium is individually addressable.  
9 Practically, however, blocks on NOR memory medium can logically be  
10 subdivided into physical sectors with the accompanying spare area.

11 Aside from the overall layout and operational comparisons, some universal  
12 electrical characteristics (also referred to herein as “memory requirements” or  
13 “rules”) of flash devices can be summarized as follows:

14 1. Write operations to a sector can change an individual bit from a  
15 logical ‘1’ to a logical ‘0’, but not from a logical ‘0’ to logical ‘1’ (except for case  
16 No. 2 below);

17 2. Erasing a block sets all of the bits in the block to a logical ‘1’;

18 3. It is not generally possible to erase individual sectors/bytes/bits in a  
19 block without erasing all sectors/bytes within the same block;

20 4. Blocks have a limited erase lifetime of between approximately  
21 100,000 to 1,000, 000 cycles;

22 5. NAND flash memory devices use ECC to safeguard against data  
23 corruption due to leakage currents; and

24 6. Read operations do not count against the write/erase lifetime.

1      ***Flash Driver Architecture***

2      FIG. 3 illustrates pertinent components of a computer device 300, which  
3      uses one or more flash memory devices to store information. Generally, various  
4      different general purpose or special purpose computing system configurations can  
5      be used for computer device 300, including but not limited to personal computers,  
6      server computers, hand-held or laptop devices, portable communication devices,  
7      multiprocessor systems, microprocessor systems, microprocessor-based systems,  
8      programmable consumer electronics, gaming systems, multimedia systems, the  
9      combination of any of the above example devices and/or systems, and the like.

10     Computer device 300 generally includes a processor 302, memory 304, and  
11    a flash memory media 100/200. The computer device 300 can include more than  
12    one of any of the aforementioned elements. Other elements such as power  
13    supplies, keyboards, touch pads, I/O interfaces, displays, LEDs, audio generators,  
14    vibrating devices, and so forth are not shown, but could easily be a part of the  
15    exemplary computer device 300.

16     Memory 304 generally includes both volatile memory (e.g., RAM) and  
17    non-volatile memory (e.g., ROM, PCMCIA cards, etc.). In most implementations  
18    described below, memory 304 is used as part of computer device's 302 cache,  
19    permitting application data to be accessed quickly without having to permanently  
20    store data on a non-volatile memory such as flash medium 100/200.

21     An operating system 309 is resident in the memory 304 and executes on the  
22    processor 302. An example operating system implementation includes the  
23    Windows®CE operating system from Microsoft Corporation, but other operation  
24    systems can be selected from one of many operating systems, such as DOS,  
25    UNIX, etc. For purposes of illustration, programs and other executable program

1 components such as the operating system are illustrated herein as discrete blocks,  
2 although it is recognized that such programs and components reside at various  
3 times in different storage components of the computer, and are executed by the  
4 processor(s) of the computer device 300.

5 One or more application programs 307 are loaded into memory 304 and run  
6 on the operating system 309. Examples of applications include, but are not limited  
7 to, email programs, word processing programs, spreadsheets programs, Internet  
8 browser programs, as so forth.

9 Also loaded into memory 304 is a file system 305 that also runs on the  
10 operating system 309. The file system 305 is generally responsible for managing  
11 the storage and retrieval of data to memory devices, such as magnetic hard drives,  
12 and this exemplary implementation flash memory media 100/200. Most file  
13 systems 305 access and store information at a logical level in accordance with the  
14 conventions of the operating system the file system 305 is running. It is possible  
15 for the file system 305 to be part of the operating system 309 or embedded as code  
16 as a separate logical module.

17 Flash driver 306 is implemented to function as a direct interface between  
18 the file system 305 and flash medium 100/200. Flash driver 306 enables computer  
19 device 300 through the file system 305 to control flash medium 100/200 and  
20 ultimately send/retrieve data. As shall be described in more detail, however, flash  
21 driver 306 is responsible for more than read/write operations. Flash driver 306 is  
22 implemented to maintain data integrity, perform wear-leveling of the flash  
23 medium, minimize data loss during a power interruption to computer device 300  
24 and permit OEMs of computer devices 300 to support their respective flash  
25 memory devices regardless of the manufacturer. The flash driver 306 is file

1 system agnostic. That means that the flash driver 306 supports many different  
2 types of files systems, such as File Allocation Data structure File System (FAT16),  
3 (FAT32), and other file systems. Additionally, flash driver 306 is flash memory  
4 medium agnostic, which likewise means driver 306 supports flash memory  
5 devices regardless of the manufacturer of the flash memory device. That is, the  
6 flash driver 306 has the ability to read/write/erase data on a flash medium and can  
7 support most, if not all, flash devices.

8 In the exemplary implementation, flash driver 306 resides as a component  
9 within operating system 309, that when executed serves as a logical interface  
10 module between the file system 305 and flash medium 100/200. The flash driver  
11 306 is illustrated as a separate box 306 for purposes of demonstrating that the flash  
12 driver when implemented serves as an interface. Nevertheless, flash driver 306  
13 can reside in other applications, part of the file system 305 or independently as  
14 separate code on a computer-readable medium that executes in conjunction with a  
15 hardware/firmware device.

16 In one implementation, flash driver 306 includes: a flash abstraction logic  
17 308 and a programmable flash medium logic 310. Flash abstraction logic 308 and  
18 programmable medium logic 310 are coded instructions that support various  
19 features performed by the flash driver 306. Although the exemplary  
20 implementation is shown to include these two elements, various features from  
21 each of the flash abstraction logic 308 and flash medium logic 310 may be  
22 selected to carry out some of the more specific implementations described below.  
23 So while the described implementation shows two distinct layers of logic 308/310,  
24 many of the techniques described below can be implemented without necessarily  
25 requiring all or a portion of the features from either layer of logic. Furthermore,

1 the techniques may be implemented without having the exact division of  
2 responsibilities as described below.

3 In one implementation, the Flash abstraction logic 308 manages those  
4 operating characteristics that are universally common to flash memory media.  
5 These universal memory requirements include wear-leveling, maintaining data  
6 integrity, and handling recovery of data after a power failure. Additionally, the  
7 flash abstraction logic 308 is responsible for mapping information stored at a  
8 physical sector domain on the flash memory medium 100/200 to a logical sector  
9 domain associated with the file system 305. That is, the flash abstraction logic  
10 308 tracks data going from a logical-to-physical sector addresses and/or from a  
11 physical-to-logical sector addresses. Driver 306 uses logical-to-physical sector  
12 addresses for both read/write operations. Driver 306 goes from physical-to-logical  
13 sector addresses when creating a look-up table (to be described below) during  
14 driver initialization. Some of the more specific commands issued by the file  
15 system that are dependent upon a certain type of flash memory media are sent  
16 directly to the flash medium logic 310 for execution and translation. Thus, the  
17 flash abstraction logic 308 serves as a manager to those universal operations,  
18 which are common to flash memory media regardless of the manufacturer for the  
19 media, such as wear-leveling, maintaining data integrity, handling data recovery  
20 after a power failure and so forth.

21 FIG. 4 illustrates an exemplary block diagram of the flash abstraction logic  
22 308. Flash abstraction logic 308 includes a sector manager 402, a logical-to-  
23 physical sector mapping module 404, and a compactor 406. Briefly, the sector  
24 manager 402 provides a pointer to a sector available, i.e., "free" to receive new  
25 data. The logical-to-physical sector mapping module 404 manages data as it goes

1 from a file system domain of logical sector addressing to a flash medium domain  
2 of physical sector addressing. The compactor 406 provides a mechanism for  
3 clearing blocks of data (also commonly referred to in the industry as “erasing”) to  
4 ensure that enough free sectors are available for writing data. Additionally, the  
5 compactor 406 helps the driver 306 system perform uniform and even wear  
6 leveling. All these elements shall be described in more detail below.

7 Referring back to FIG. 3, the flash medium logic 310 is used to translate  
8 logical commands, received from either the flash abstraction logic 308 or file  
9 system 305, to physical sector commands for issuance to the flash memory  
10 medium 100/200. For instance, the flash medium logic 310 reads, writes, and  
11 erases data to and/or from the flash memory medium. The flash medium logic 310  
12 is also responsible for performing ECC (if necessary). In one implementation, the  
13 flash medium logic 310 is programmable to permit users to match particular flash  
14 medium requirements of a specific manufacturer. Thus, the flash medium logic  
15 310 is configured to handle specific nuances, ECC, and specific commands  
16 associated with controlling physical aspects of flash medium 100/200.

17 FIG. 5 illustrates an exemplary block diagram of the flash medium logic  
18 310. As shown, the flash medium logic 310 includes a programmable entry point  
19 module 502, I/O module 504 and an ECC module 506. The programmable entry  
20 point module 502 defines a set of programming interfaces to communicate  
21 between flash abstraction logic 308 and flash medium 100/200. In other words, the  
22 programmable entry points permit manufacturers of computer devices 300 to  
23 program the flash media logic 310 to interface with the actual flash memory  
24 medium 100/200 used in the computer device 300. The I/O module 504 contains  
25 specific code necessary for read/write/erase commands that are sent to the Flash

1 memory medium 100/200. The user can program the ECC module 506 to function  
2 in accordance with any particular ECC algorithm selected by the user.

3

4 ***Tracking Data***

5 File system 305 uses logical sector addressing to read and store information  
6 on flash memory medium 100/200. Logical sector addresses are address locations  
7 that the file system reads and writes data to. They are “logical” because they are  
8 relative to the file system. In actuality, data may be stored in completely different  
9 physical locations on the flash memory medium 100/200. These physical  
10 locations are referred to as physical sector addresses.

11 The flash driver 306 is responsible for linking all logical sector address  
12 requests (i.e., read & write) to physical sector address requests. The process of  
13 linking logical-to-physical sector addresses is also referred to herein as mapping.  
14 Going from logical to physical sector addresses permits flash driver 306 to have  
15 maximum flexibility when deciding where to store data on the flash memory  
16 medium 100/200. The logical-to-physical sector mapping module 404 permits  
17 data to be flexibly assigned to any physical location on the flash memory medium,  
18 which provides efficiency for other tasks, such as wear-leveling and recovering  
19 from a power failure. It also permits the file system 305 to store data in the  
20 fashion it is designed to do so, without needing intelligence to know that the data  
21 is actually being stored on a flash medium in a different fashion.

22 FIG. 6A shows an exemplary implementation of a data structure (i.e., a  
23 table) 600A generated by the flash driver 306. The data structure 600A is stored  
24 in a volatile portion of memory 304, e.g. RAM. The data structure 600A includes  
25 physical sector addresses 602 that have a corresponding logical sector address 604.

1 An exemplary description of how table 600A is generated is described with  
2 reference to FIG. 7.

3 FIG. 7 illustrates a process 700 used to track data on the flash memory  
4 medium 100/200 when the file system 305 issues write requests to the flash driver  
5 306. Process 700 includes steps 702-718. Referring to FIGS. 6A and 7, in step  
6 702, flash abstraction logic 308 receives a request to write data to a specified  
7 logical sector address 604.

8 In step 704, the sector manager 402 ascertains a free physical sector address  
9 location on the flash medium 100/200 that can accept data associated with the  
10 write request (how the sector manager 402 chooses physical sector addresses will  
11 be explained in more detail below). A free physical sector is any sector that can  
12 accept data without the need to be erased first. Once the sector manager 402  
13 receives the physical sector address associated with a free physical sector location,  
14 the logical-to-physical sector mapping module 404 assigns the physical sector  
15 address to the logical sector address 604 specified by write request forming a  
16 corresponding relationship. For example, a physical sector address of 0 through N  
17 can be assigned to any arbitrary logical sector address 0 through N.

18 Next, in step 706, the logical-to-physical sector mapping module 404 stores  
19 the corresponding relationship of the physical sector address to the logical sector  
20 address in a data structure, such as the exemplary table 600A in memory 305. As  
21 shown in the exemplary data structure 600A, three logical sector addresses 604 are  
22 assigned to corresponding physical sector addresses 602.

23 Next, in step 708 data associated with the logical sector address write  
24 request is stored on the flash medium 100/200 at the physical sector address  
25 location assigned in step 704. For example, data would be stored in physical

1 sector address location of zero on the medium 100/200, which corresponds to the  
2 logical sector address of 11.

3 Now, in step 710, suppose for example purposes the file system 305 issues  
4 another write request, but in this case, to modify data associated with a logical  
5 sector address previously issued in step 702. Then, flash driver 306 performs  
6 steps 712 through 714, which are identical to steps 704 through 708, respectively,  
7 which are described above.

8 In step 718, however, after the updated data associated with step 710 is  
9 successfully stored on the flash medium 100/200, the logical-to-physical sector  
10 mapping module 404 marks the old physical sector address assigned in step 704 as  
11 “dirty.” Old data is marked dirty after new data is written to the medium 100/200,  
12 so in the event there is a power failure in the middle of the write operation, the  
13 logical-to-physical sector mapping module 404 will not lose old data. It is  
14 possible to lose new or updated data from steps 702 or 710, but since there is no  
15 need to perform an erase operation only one item of new or modified data is lost in  
16 the event of a power failure.

17 FIG. 6B shows a data structure 600B which is the same as data structure  
18 600A, except its contents have been updated. In this example the file system 305  
19 has updated data associated with logical sector address 11. Accordingly, the flash  
20 driver 306 reassigns logical sector address 11 to physical sector address 3 and  
21 stores the reassigned corresponding relationship between the these two addresses  
22 in data structure 600B. As illustrated in data structure 600B, the contents of  
23 logical sector 11 are actually written to physical sector address 3 and the contents  
24 of sector 0 are marked “dirty” after the data contents are successfully written into  
25 physical sector address 3 as was described with reference to steps 710-718.

This process of reassigning logical-to-physical sector address when previously stored data is updated by the file system 305, permits write operations to take place without having to wait to move an entire block of data and perform an erase operation. So, process 700 permits the data structure to be quickly updated and then the physical write operation can occur on the actual physical medium 100/200. Flash abstraction logic 308 uses the data structures, such as 600A/600B, to correctly maintain logical-to-physical mapping relationships.

When there is a read request issued by the files system 305, the flash abstraction logic 308, through the logical-to-physical mapping module 404, searches the data structure 600A/600B to obtain the physical sector address which has a corresponding relationship with the logical sector address associated with read request. The flash medium logic 310 then uses that physical sector address as a basis to send data associated with the read request back to the file system 305. The file system 305 does not need intelligence to know that its requests to logical sector addresses are actually mapped to physical sector addresses.

### ***Power-Interruption Protection***

Write operations are performed at the sector-level as opposed to the block-level, which minimizes the potential for data loss during a power-failure situation. A sector worth of data is the finest level of granularity that is used with respect to most file systems 305. Therefore, if the flash driver 306 is implemented to operate at a per sector basis, the potential for data loss during a power failure is reduced.

As mentioned above, data structures 600A, 600B are stored in memory 304, which in one exemplary implementation is typically a volatile memory device subject to complete erasure in the event of a power failure. To safeguard data

1 integrity on the flash medium 100/200, logical-to-physical mapping information  
2 stored in the data structures 600A/ 600B is backed-up on the flash memory  
3 medium.

4 In one exemplary implementation, to reduce the cost associated with  
5 storing the entire data structure on the flash memory medium 100/200, the logical  
6 sector address is stored in the spare 104 area of the medium with each physical  
7 sector in which the logical sector address has a corresponding relationship.

8 FIG. 8 illustrates a process 800 for safeguarding mapping of logical-to-  
9 physical sector address information stored in volatile data structures, such as  
10 exemplary data structures 600A and 600B. Process 800 includes steps 802-814.  
11 The order in which the process is described is not intended to be construed as a  
12 limitation. Furthermore, the process can be implemented in any suitable hardware,  
13 software, firmware, or combination thereof. In step 802, the logical sector address  
14 associated with the actual data is stored in the physical sector of the flash memory  
15 medium 100/200 at the physical sector address assigned to the logical sector  
16 address. In the case of a NAND flash memory medium 100, the logical sector  
17 address is stored in the spare area 104 of the medium. Using this scheme, the  
18 logical-to-physical sector mapping information is stored in a reverse lookup  
19 format. Thus, after a power failure situation, it is necessary to scan the spare area  
20 for each physical sector on the media, determine the corresponding logical sector  
21 address, and then update the in-memory lookup table accordingly. FIG. 9  
22 illustrates a location with in media 100/200 in which the logical sector address can  
23 be stored. As previously mentioned, blocks of NOR flash memory can be  
24 logically subdivided into physical sectors each with a spare area (similar to  
25 NAND). Using this technique, the logical sector address is stored in the spare area

for each the physical sector similar to the process used with NAND flash memory (shown in FIG. 15 as space 1504 to be described with reference to FIG. 15).

In the event there is a power interruption and the data structures 600A, 600B are lost, as indicated by the YES branch of decisional step 804 of FIG. 8, then flash abstraction logic 308 uses the flash medium logic 310 to scan the flash memory medium to locate the logical sector address stored with data in each physical address (see FIG. 9), as indicated in step 806. In step 808, the physical sector address in which data is contained is reassigned to the logical sector address located with the data on the medium. As the physical and logical sector address are reestablished they are stored back in the data structures 600A, 600B and the flash medium logic 310 goes to the next sector containing data as indicated in step 812. Steps 806-812 repeat until all sectors containing data have been scanned and the data structure is reestablished. Normally, this occurs at initialization of the computer device 300.

Accordingly, when a power failure occurs, process 800 enables the flash abstraction logic 308 to scan the medium 100/200 and rebuild the logical-to-physical mapping in a data structure such as the exemplary data structure 600. Process 800 ensures that mapping information is not lost during a power failure and that integrity of the data is retained.

#### ***Dynamic Look-up Data structure for Tracking Data***

FIG. 10 illustrates a dynamic look-up data structure 1000 to track data stored in the flash memory medium 100/200. Data structure 1000 includes a master data structure 1002 and one or more secondary data structures 1004, 1006. The data structures are generated and maintained by the flash driver 306. The data structures are stored in a volatile portion of memory 304. The one or more

secondary tables 1004, 1006 contain mappings of logical-to-physical sector addresses. Each of the secondary data structures 1004, 1006, as will be explained, have a predetermined capacity of mappings. The master data structure 1002 contains a pointer to each of the one or more secondary data structures 1004, 1006. Each secondary data structure is allocated on as needed basis for mapping those logical-to-physical addresses that are used to store data. Once the capacity of a secondary data structure 1004, 1006, etc., is exceeded, another secondary data structure is allocated, and another, etc., until eventually all possible physical sector addresses on the flash medium 100/200 are mapped to logical sector addresses. Each time a secondary table is allocated, a pointer contained in the master data structure 1002 is enabled by the flash driver 306 to point to it.

Accordingly, the flash driver 306 dynamically allocates one or more secondary data structures 1004, 1006 based on the amount of permanent data stored on the flash medium itself. The size characteristics of the secondary data structures are computed at run-time using the specific attributes of the flash memory medium 100/200. Secondary data structures are not allocated unless the secondary data structure previously allocated is full or insufficient to handle the amount of logical address space required by the file system 305. Dynamic look-up data structure 1000, therefore, minimizes usage of memory 304. Dynamic look-up data structure 1000 lends itself to computer devices 300 that use calendars, inboxes, documents, etc. where most of the logical sector address space will not need to be mapped to a physical sector address. In these applications, only a finite range of logical sectors are repeatedly accessed and new logical sectors are only written when the application requires more storage area.

1       The master data structure 1002 contains an array of pointers, 0 through N  
2       that point to those secondary data structures that are allocated. In the example of  
3       FIG. 10, the pointers at location 0 and 1 point to secondary data structures 1004  
4       and 1006, respectively. Also, in the example illustration of FIG. 10, pointers 2  
5       through N do not point to any secondary data structures and would contain a  
6       default setting, “NULL”, such that the logical-to-physical sector mapping module  
7       404 knows that there are no further secondary data structures allocated.

8       Each secondary data structure 1004, 1006 is similar to data structures 600,  
9       but only a portion of the total possible medium is mapped in the secondary data  
10      structures. The secondary data structures permit the flash abstraction logic 308 to  
11      reduce the amount space needed in memory 304, to only those portions of logical  
12      sectors addresses issued by the file system. Each secondary data structure is  $(b*k)$   
13      bytes in size, where k is the number of physical sector addresses contained in the  
14      data structure and b is the number of bytes used to store each physical sector  
15      address.

16      FIG. 11 illustrates a process 1100 for dynamically allocating look-up data  
17      structures for tracking data on the flash memory medium 100/200. Process 1100  
18      includes steps 1102 through 1106. The order in which the process is described is  
19      not intended to be construed as a limitation. Furthermore, the process can be  
20      implemented in any suitable hardware, software, firmware, or combination  
21      thereof.

22      In step 1102, a master data structure 1002 containing the pointers to one or  
23      more secondary data structures 1004, 1006 is generated. The master data structure  
24      1002 in this exemplary implementation is fixed in size. At the time the computer  
25      device 300 boots-up, the flash medium logic 310 determines the size of the flash

1 memory medium 100/200 and relays this information to the flash abstraction logic  
2 308. Based on the size of the flash medium, the flash abstraction logic 308  
3 calculates a range of physical addresses. That is, suppose the size of the flash  
4 medium is 16 MB, then a NAND flash medium 100 will typically contain 32768  
5 sectors each 512 bytes in size. This means that the flash abstraction logic 308 may  
6 need to map a total of 0 through 32768 logical sectors in a worse case scenario,  
7 assuming all the memory space is used on the flash medium. Knowing that there  
8 are  $2^{15}$  sectors on the medium, the flash abstraction logic 308 can use 2 bytes to  
9 store the physical sector address for each logical sector address. So the master  
10 data structure is implemented as an array of 256 DWORDs (N=256), which covers  
11 the maximum quantity of logical sector addresses (e.g., 32768) to be issued by the  
12 files system. So, there are a total of 256 potential secondary data structures.

13 In step 1104 the secondary data structure(s) are allocated. First, the flash  
14 abstraction logic determines the smallest possible size for each potential secondary  
15 data structure. Using simple division,  $32768 / 256 = 128$  logical sector addresses  
16 supported by each data structure. As mentioned above, the entire physical space  
17 can be mapped using 2 bytes, b=2, therefore, each secondary data structure will be  
18 256 bytes in size or ( $b=2 * k=128$ ).

19 Now, knowing the size of each secondary data structure, suppose that the  
20 file system 305 requests to write to logical sector addresses 50-79, also known as  
21 LS50-LS79. To satisfy the write requests from the files system 305, the flash  
22 abstraction logic 308 calculates that the first pointer in master data structure 1002  
23 is used for logical sector addresses LS0-LS127 or data structure 1004. Assuming  
24 the first pointer is NULL, the flash abstraction logic 308 allocates data structure  
25 1004 (which is 256 bytes in size) in memory 304. As indicated in step 1106, the

1 flash abstraction logic 308 enables the pointer in position 0 of the master data  
2 structure to point to data structure 1004. So, in this example, data structure 1004  
3 is used to store the mapping information for logical sectors LS50-LS79.

4 The flash abstraction logic 308 allocates a secondary data structure, if the  
5 file system 305 writes to the corresponding area in the flash medium 100/200.  
6 Typically, only the logical sector addresses that are used are mapped by the flash  
7 abstraction logic 308. So, in the worst case scenario, when the file system 305  
8 accesses the entire logical address space, then all 256 secondary data structures  
9 (only two, 1004, 1006 are shown to be allocated in the example of FIG. 10), each  
10 256 bytes in size will be allocated requiring a total of 64KB of space in memory  
11 304.

12 When an allocated data structure 1004, for instance, becomes insufficient to  
13 store the logical sector address space issued by the file system 305, then the flash  
14 abstraction logic 308 allocates another data structure, like data structure 1006.  
15 This process of dynamically allocating secondary data structures also applies if  
16 data structure 1004 becomes sufficient at a later time to again handle all the logical  
17 sector address requests made by the file system. In this example, the pointer to  
18 data structure 1006 would be disabled by the flash abstraction logic 308; and data  
19 structure 1006 would become free space in memory 304.

20 ***Uniform Wear Leveling and Recycling of Sectors***

21 FIG. 12 is a diagram of flash memory medium 100/200 viewed and/or  
22 treated as a continuous circle 1200 by the flash driver 306. Physically the flash  
23 memory media is the same as either media 100/200 shown in FIGS. 1 and 2,  
24 except the flash abstraction logic 308, organizes the flash memory medium as if it  
25 is a continuous circle 1200, containing 0-to-N blocks. Accordingly, the highest

1 physical sector address (individual sectors are not shown in FIG. 12 to simplify the  
2 illustration, but may be seen in FIGS. 1 and 2) within block N and the lowest  
3 physical sector address within block 0 are viewed as being contiguous.

4 FIG. 13 illustrates another view of media 100/200 viewed as a continuous  
5 circle 1200. In this exemplary illustration, the sector manager 402 maintains a  
6 write pointer 1302, which indicates a next available free sector to receive data on  
7 the medium. The next available free sector is a sector that can accept data without  
8 the need to be erased first in a prescribed order. The write pointer 1102 is  
9 implemented as a combination of two counters: a sector counter 1306 that counts  
10 sectors and a block counter 1304 that counts blocks. Both counters combined  
11 indicate the next available free sector to receive data.

12 In an alternative implementation, the write pointer 1302 can be  
13 implemented as a single counter and indicate the next physical sector that is free to  
14 accept data during a write operation. According to this implementation, the sector  
15 manager 402 maintains a list of all physical sector addresses free to receive data  
16 on the medium. The sector manager 402 stores the first and last physical sector  
17 addresses (the contiguous addresses) on the medium and subtracts the two  
18 addresses to determine an entire list of free sectors. The write pointer 1302 then  
19 advances through the list in a circular and continuous fashion. This reduces the  
20 amount of information needed to be stored by the sector manager 402.

21 FIG. 14 illustrates a process 1400 used by the sector manager 402 to  
22 determine the next available free sector location for the flash driver 306 to store  
23 data on the medium 100/200. Process 1400 also enables the sector manager 402 to  
24 provide each physical sector address (for the next free sector) for assignment to  
25 each logical sector address write request by the file system 305 as described

1 above. Process 1400 includes steps 1402-1418. The order in which the process is  
2 described is not intended to be construed as a limitation. Furthermore, the process  
3 can be implemented in any suitable hardware, software, firmware, or combination  
4 thereof.

5 In step 1402, the X block counter 1304 and Y sector counter 1306 are  
6 initially set to zero. At this point it is assumed that no data resides on the medium  
7 100/200.

8 In step 1404, the driver 306 receives a write request and the sector manager  
9 402 is queried to send the next available free physical sector address to the logical-  
10 to-physical sector mapping module 404. The write request may come from the file  
11 system 305 and/or internally from the compactor 406 for recycling sectors as shall  
12 be explained in more detail below.

13 In step 1406, the data is written to the sector indicated by the write pointer  
14 1302. Since both counters are initially set to zero in this exemplary illustration,  
15 suppose that the write pointer 1302 points to sector zero, block zero.

16 In step 1408, the sector counter 1306 is advanced one valid sector. For  
17 example, the write pointer advances to sector one of block zero, following the  
18 example from step 1406.

19 Next, in decisional step 1410, the sector manager 402 checks whether the  
20 sector counter 1306 exceeds the number of sectors K in a block. If the Y count  
21 does not exceed the maximum sector size of the block, then according to the NO  
22 branch of decisional step 1410, steps 1404-1410 repeat for the next write request.

23 On the other hand, if the Y count does exceed the maximum sector size of  
24 the block, then the highest physical sector address of the block was written to and  
25 the block is full. Then according to the YES branch of step 1410, in step 1412 the

1 Y counter is reset to zero. Next, in step 1414, X block counter 1304 is  
2 incremented by one, which advances the write pointer 1302 to the next block at  
3 the lowest valid physical sector address, zero, of that block.

4 Next, in decisional step 1416, the compactor 406 checks whether the X  
5 block counter is pointing to a bad block. If it is, X block counter 1304 is  
6 incremented by one. In one implementation, the compactor 406 is responsible for  
7 checking this condition. As mentioned above, the sector manager stores all of the  
8 physical sector addresses that are free to handle a write request. Entire blocks of  
9 physical sector addresses are always added by the compactor during a compaction  
10 or during initialization. So, the sector manager 402 does not have to check to see  
11 if blocks are bad, although the sector manager could be implemented to do so. It  
12 should also be noted that in other implementations step 1416 could be performed  
13 at the start of process 1400.

14 In step 1417, the X block counter 1304 is incremented until it is pointing to  
15 a good block. To avoid a continuous loop, if all the blocks are bad, then process  
16 1400 stops at step 1416 and provides an indication to a user that all blocks are bad.

17 Next in decisional step 1418, the sector manager checks whether the X  
18 block counter 1304 exceeds the maximum numbers of blocks N. This would  
19 indicate that write pointer 1302 has arrived full circle (at the top of circle 1200). If  
20 that is the case, then according to the YES branch of step 1418, the process 1400  
21 repeats and the X and Y counter are reset to zero. Otherwise, according to the NO  
22 branch of step 1418, the process 1400 returns to step 1404 and proceeds.

23 In this exemplary process 1400, the write pointer 1302 initially starts with  
24 the lowest physical sector address of the lowest addressed block. The write  
25 pointer 1302 advances a sector at a time through to the highest physical sector

1 address of the highest addressed block and then back to the lowest, and so forth.  
2 This continuous and circular process 1400 ensures that data is written to each  
3 sector of the medium 100/200 fairly and evenly. No particular block or sector is  
4 written to more than any other, ensuring even wear-levels throughout the medium  
5 100/200. Accordingly, process 1400 permits data to be written to the next  
6 available free sector extremely quickly without expensive processing algorithms  
7 used to determine where to write new data while maintaining even wear-levels.  
8 Such conventional algorithms can slow the write speed of a computer device.

9 In an alternative implementation, it is possible for the write pointer 1302 to  
10 move in a counter clock wise direction starting with highest physical sector  
11 address of the highest block address N and decrement its counters. In either case,  
12 bad blocks can be entirely skipped and ignored by the sector manager.  
13 Additionally, the counters can be set to any value and do not necessarily have to  
14 start with the highest or lowest values of for the counters.

15 FIG. 15 illustrates another view of media 100/200 viewed as a continuous  
16 circle 1200. As shown in FIG. 15, the write pointer 1302 has advanced through  
17 blocks 0 through 7 and is approximately half way through circle 1200.  
18 Accordingly, blocks 0 through 7 contain dirty, valid data, or bad blocks. That is,  
19 each good sector in blocks 0 through 7 is not free, and therefore, not available to  
20 receive new or modified data. Arrow 1504 represents that blocks 0 through 7  
21 contain used sectors. Eventually, the write pointer 1302 will either run out of free  
22 sectors to write to unless sectors that are marked dirty or are not valid are cleared  
23 and recycled. To clear a sector means that sectors are reset to a writable state or in  
24 other words are “erased.” In order to free sectors it is necessary to erase at least a  
25 block at a time. Before a block can be erased, however, the contents of all good

1 sectors are copied to the free sectors to a different portion of the media. The  
2 sectors are then later marked “dirty” and the block is erased.

3 The compactor 406 is responsible for monitoring the condition of the  
4 medium 100/200 to determine when it is appropriate to erase blocks in order to  
5 recycle free sectors back to the sector manager 402. The compactor 406 is also  
6 responsible for carrying out the clear operation. To complete the clear operation,  
7 the compactor 406, like the sector manager 402, maintains a pointer. In this case,  
8 the compactor 406 maintains a clear pointer 1502, which is shown in FIG. 15. The  
9 clear pointer 1502 points to physical blocks and as will be explained enables the  
10 compactor 406 to keep track of sectors as the medium 100/200 as blocks are  
11 cleared. The compactor 406 can maintain a pointer to a block to compact next  
12 since an erase operation affects entire blocks. That is, when the compactor 406 is  
13 not compacting a block, the compactor 406 points to a block.

14 FIG. 16 is a flow chart illustrating a process 1600 used by the compactor to  
15 recycle sectors. Process 1600 includes steps 1602-1612. The order in which the  
16 process is described is not intended to be construed as a limitation. Furthermore,  
17 the process can be implemented in any suitable hardware, software, firmware, or  
18 combination thereof. In step 1602, the compactor 406 monitors how frequently  
19 the flash memory medium 100/200 is written to or updated by the file system.  
20 This is accomplished by specifically monitoring the quantities of free and dirty  
21 sectors on the medium 100/200. The number of free sectors and dirty sectors can  
22 be determined counting free and dirty sectors stored in tables 600 and/or 900  
23 described above.

24 In decisional step 1604, the compactor 406 performs two comparisons to  
25 determine whether it is prudent to recycle sectors. The first comparison involves

1 comparing the amount of free sectors to dirty sectors. If the amount of dirty  
2 sectors outnumbers the free sectors, then the compactor 406 deems it warranted to  
3 perform a recycling operation, which in this case is referred to as a “service  
4 compaction.” Thus a service compaction is indicated when the number of dirty  
5 sectors outnumbers the quantity of free sectors.

6 If a service compaction is deemed warranted, then in step 1606 the  
7 compactor waits for a low priority thread 1606, before seizing control of the  
8 medium to carry out steps 1608-1612 to clear blocks of dirty data. The service  
9 compaction could also be implemented to occur at other convenient times when it  
10 is optional to recycle dirty sectors into free sectors. For instance, in an alternative  
11 implementation, when one third of the total sectors are dirty, the flash abstraction  
12 logic 308 can perform a service compaction. In either implementation, usually the  
13 compactor 406 waits for higher priority threads to relinquish control of the  
14 processor 302 and/or flash medium 100/200. Once a low priority thread is  
15 available, the process proceeds to step 1608.

16 Referring back to step 1604, the second comparison involves comparing the  
17 amount of free sectors left on the medium, to determine if the write pointer 1302 is  
18 about to or has run out of free sectors to point to. If this is the situation, then the  
19 compactor 406 deems it warranted to order a “critical compaction” to recycle  
20 sectors. The compactor does not wait for a low priority thread and launches  
21 immediately into step 1608.

22 In step 1608, the compactor 406 operates at either a high priority thread or  
23 low priority thread depending on step 1604. If operating at a high level thread  
24 (critical compaction), the compactor 1102 is limited to recycling a small number,  
25 e.g., 16 dirty sectors, into free sectors and return control of the processor back to

1 computer device 300 to avoid monopolizing the processor 302 during such an  
2 interruption.

3 Thirty two sectors per block is commonly manufactured for flash media,  
4 but other numbers of sectors, larger or smaller, could be selected for a critical  
5 compaction. Regardless of these size characteristics, the number of sectors  
6 recycled during a critical compaction is arbitrary but must be at least 1 (in order to  
7 satisfy the current WRITE request). A critical compaction stalls the file system  
8 305 from being able to complete a write; therefore, it is important to complete the  
9 compaction as soon as possible. In the case of a critical compaction, the  
10 compactor 406 must recycle at least one dirty sector into a free sector so that there  
11 is space on the medium to fulfill the pending write request. Having more than one  
12 sector recycled at a time, such as 16, avoids the situation where there are multiple  
13 pending write requests and multiple critical compactations that are performed back-  
14 to-back, effectively blocking control of the processor indefinitely. So, while the  
15 number of sectors recycled chosen for a critical compaction can vary, a number  
16 sufficient to prevent back-to-back critical compactations is implemented in the  
17 exemplary description.

18 So, in step 1608, the compactor 406 will use the clear pointer 1502 to scan  
19 sectors for valid data, rewrite the data to free sectors, and mark a sector dirty after  
20 successfully moving data. Accordingly, when moving data, the compactor uses  
21 the same processes described with reference to process 700, which is the same  
22 code that is used when the file system 305 writes new and/or updates data. The  
23 compactor 406 queries the sector manager 402 for free sectors when moving data,  
24 in the same fashion as described with reference to process 1400.

In step 1610, the compactor 406 moves the clear pointer 1502 sector-by-sector using a sector counter like the write counter 1306 shown in Fig. 13, except this sector counter pertains to the location of the clear pointer 1502. The compactor 406 also keeps track of blocks through a counter in similar fashion as described with reference to the write pointer 1302. However, the amount of blocks cleared is determined by the number of dirty sectors with the exception of a critical compaction. In a critical compaction, the compactor only compacts enough blocks to recycle a small number of physical sectors (i.e. 16 sectors).

In step 1612, the compactor erases (clears) those blocks which contain good sectors that are fully marked dirty. FIG. 17 shows exemplary results from process 1600. In this example, blocks 0 and 1 were cleared and the clear pointer was moved to the first sector of block 2, in the event another compaction is deemed warranted. As a result, the compactor 406 recycled two blocks worth of the sectors from blocks 0 and 1, which provides more free sectors to the sector manager 402. Used sectors 1504 forms a data stream (hereinafter a “data stream” 1504) that rotates in this implementation in a clockwise fashion. The write pointer 1302 remains at the head of the data stream 1504 and the clear pointer 1502 remains at the end or “tail” of the data stream 1504. The data stream 1504 may shrink as data is deleted, or grow as new data is added, but the pointers always point to opposite ends of the data stream 1504: head and tail.

Treating the flash memory medium as if the physical sector addresses form a continuous circle 1200, and using the processes described above, enables the flash abstraction logic 308 to accomplish uniform wear-leveling throughout the medium 100/200. The compactor 406 selects a given block the same number times for recycling of sectors through erasure. Since flash blocks have a limited

1 write/erase cycle, the compactor as well as the sector manager distributes these  
2 operations across blocks 0-N as evenly and as fairly as possible. In this regard, the  
3 data stream 1504 rotates in the circle 1200 (i.e. the medium 100/200) evenly  
4 providing perfect wear-levels on the flash memory medium 100/200.

5 In the event of power failure, the flash abstraction logic 310 contains  
6 simple coded logic that scans the flash memory medium 100/ 200 and determines  
7 what locations are marked free and dirty. The logic is then able to deduce that the  
8 data stream 1504 resides between the locations marked free and dirty, e.g., the  
9 data stream 1106 portion of the circle 1200 described in FIG. 17. The head and  
10 tail of the data stream 1504 is easily determined by locating the highest of the  
11 physical sector addresses containing data for the head and by locating the lowest  
12 of the physical sector addresses containing data for the tail.

13 ***NOR Flash Devices***

14 Although all the aforementioned sections in this Detailed Description  
15 section apply to NAND and NOR flash devices, if a NOR flash memory medium  
16 200 is used, some additional implementation is needed for the flash medium logic  
17 to support the storing of data in each physical sector on the medium 200. Each  
18 NOR block 0, 1, 2, etc. can be treated like a NAND flash memory medium 100, by  
19 the flash medium logic 310. Specifically, each NOR block is subdivided into  
20 some number of pages where each page consists of a 512 byte “data area” for  
21 sector data and an 8 byte “spare area” for storing things like to the logical sector  
22 address, status bits, etc. (as described above).

23 FIG. 18 illustrates a logical representation of a NOR flash memory medium  
24 200 divided in way to better support the processes and techniques implemented by  
25 the flash driver. In this implementation, sectors 1802 contain a 512 byte data area

1 1803 for the storage of sector related data and 8 bytes for a spare area 1804.  
2 Sections 1806 represent unused portions of NOR blocks, because a NOR Flash  
3 block is usually a power of 2 in size, which is not evenly divisible. For instance,  
4 consider a 16 MB NOR flash memory device that has 128 flash blocks each 128  
5 KB in size. Using a page size equal to 520 bytes, each NOR flash block can be  
6 divided into 252 distinct sectors with 32 bytes remaining unused. Unfortunately,  
7 these 32 bytes per block are “wasted” by the flash medium logic 310 in the  
8 exemplary implementation and are not used to store sector data. The tradeoff,  
9 however, is the enhanced write throughput, uniform wear leveling, data loss  
10 minimization, etc. all provided by the flash abstraction logic 308 of the exemplary  
11 flash driver 306 as described above. Alternative implementations could be  
12 accomplished by dividing the medium 200 into different sector sizes.

13

14 ***Computer readable Media***

15 An implementation of exemplary subject matter using a flash driver as  
16 described above may be stored on or transmitted across some form of computer-  
17 readable media. Computer-readable media can be any available media that can be  
18 accessed by a computer. By way of example, and not limitation, computer  
19 readable media may comprise “computer storage media” and “communications  
20 media.”

21 “Computer storage media” include volatile and non-volatile, removable and  
22 non-removable media implemented in any method or technology for storage of  
23 information such as computer readable instructions, data structures, program  
24 modules, or other data. Computer storage media includes, but is not limited to,  
25 RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM,

1 digital versatile disks (DVD) or other optical storage, magnetic cassettes, magnetic  
2 tape, magnetic disk storage or other magnetic storage devices, or any other  
3 medium which can be used to store the desired information and which can be  
4 accessed by a computer.

5 “Communication media” typically embodies computer readable  
6 instructions, data structures, program modules, or other data in a modulated data  
7 signal, such as carrier wave or other transport mechanism. Communication media  
8 also includes any information delivery media.

9 The term “modulated data signal” means a signal that has one or more of its  
10 characteristics set or changed in such a manner as to encode information in the  
11 signal. By way of example, and not limitation, communication media includes  
12 wired media such as a wired network or direct-wired connection, and wireless  
13 media such as acoustic, RF, infrared, and other wireless media. Combinations of  
14 any of the above are also included within the scope of computer readable media.

15 **Conclusion**

16 Although the invention has been described in language specific to structural  
17 features and/or methodological acts, it is to be understood that the invention  
18 defined in the appended claims is not necessarily limited to the specific features or  
19 acts described. Rather, the specific features and acts are disclosed as exemplary  
20 forms of implementing the claimed invention.